

# Lincoln Trust

## PROMISSORY NOTE INVESTMENT REQUIREMENT FORM

A **Fiserv** Company

### DOCUMENTS REQUIRED FOR REVIEW PRIOR TO FUNDING:

### DESCRIPTION

1. <b>Completed and signed</b> Promissory Note Investment Authorization Form	Lincoln Trust Company's required client authorization and investment directive for Promissory Notes.
2. Signed Investment Requirement Form (this document)	Requirements and minimums for investment in Promissory Notes.
3. Promissory Note (Proposed)	Provisions of note. Should be payable to: <b><i>Lincoln Trust Company, Custodian FBO (client's name).</i></b>
4. Assignment of Note, if applicable	Transfers note ownership from one custodian to another. Should be assigned to: <b><i>Lincoln Trust Company, Custodian FBO (client's name).</i></b>
5. Loan Servicing Agreement (reverse side of this document)	Establishes a servicing agent for the note. The agreement must state that the agent will agree to: Collect and book payments, notify Lincoln Trust Company and client if default occurs, and take action upon default. It must be signed by the client and the agent.

### IF NOTE IS SECURED BY DEED OF TRUST:

6. Escrow instructions, if applicable	Escrow Agent (Title Co., Bank, etc.) will prepare escrow instructions. Must be signed by client.
7. Title Report (Lender's)	Less than two months old. (1st or 2nd Deed of Trust only) The proposed insured party must read <b><i>Lincoln Trust Company, Custodian FBO (client's name).</i></b>
8. Deed of Trust or Mortgage (Proposed)	To: <b><i>Lincoln Trust Company, Custodian FBO (client's name).</i></b> Legal description must match title report and must reference promissory note.
9. Assignment of Deed of Trust or Mortgage	Should be assigned to: <b><i>Lincoln Trust Company, Custodian FBO (client's name).</i></b> Must reference promissory note.
10. Opinion of Counsel	Letter from attorney stating that Lincoln Trust (a Colorado Trust Company), as custodian, may hold title to property in the state where the property is located. If an Opinion of Counsel cannot be obtained, the enclosed <b>Release &amp; Indemnity</b> form must be filled out, signed and returned with the other review documents.

### IF NOTE IS SECURED BY SECOND DEED OF TRUST:

11. Request for Notice of Default	Document prepared by title company. Notification to Lincoln Trust if 1st Deed of Trust is in default.
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If any of these documents are missing or incomplete, a special services fee will be charged. The investment may not be accepted for purchase until all properly completed documents have been received and reviewed for compliance with our administrative requirements.

If I am transferring this investment to Lincoln Trust Company, I agree that I will provide to Lincoln Trust Company all necessary transfer documentation.

### Documents to be forwarded to Lincoln after the investment is funded:

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| 1) Original Promissory Note            | 4) Original Recorded Assignment of Deed of Trust |
| 2) Original Assignment (if applicable) | 5) Title Policy                                  |
| 3) Original Recorded Deed of Trust     |  |

I have read the Investment Requirement form and understand the requirements for investing in Promissory Notes.

«f38» – Account Holder Signature

Date

«f366»

Account Number (if applicable)

«f364»

Daytime Telephone #